

66/10/60  
JC594 U.S. PTO

Form PTO-1082  
ASSISTANT COMMISSIONER FOR PATENTS  
Box Application  
Washington D.C. 20231

Case Docket No. 6479

**Transmittal of Continuation-In-Part Application Under 37 CFR §1.53(b)**

Dear Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) is the patent application of:

Inventor: John Spakousky

For: Composite Building Block With Connective Structure

This application is a continuation-in-part of U.S. patent application serial no. 08/795,691, filed February 4, 1997

Enclosed are:


- ☒ Specification (36 pages)
- ☒ Formal Drawings (27 sheets)
- ☒ Signed Declaration
- ☒ Two (2) Statements Claiming Small Entity Status

The filing fee has been calculated as shown:

				<input checked="" type="checkbox"/> Small Entity		<input type="checkbox"/> Other than Small Entity	
Basic Filing Fee					\$380.00		\$760.00
Additional Fees:				(rate)		(rate)	
Total Claims	44	No. Extra	24	\$9.00	\$216.00	\$11.00	
Independent Claims	6	No. Extra	3	\$39.00	\$117.00	\$78.00	
Multiple dependent claim presented.				\$130.00		\$260.00	
<b>**TOTAL**</b>					<b>\$713.00</b>		

- ☐ Please Charge my Deposit Account No. 04-1420 in the amount of \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$713.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to deposit Account No. 04-1420. A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 CFR 1.16.
  - ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 04-1420. A duplicate copy of this sheet is enclosed.
  - ☐ Any patent application processing fees under 37 CFR 1.17.
  - ☐ The issue fee set in 37 CFR 1.18 at or before the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date: 9/7/99

  
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1C525 U.S. PTO  
09/390435  
09/07/99

Applicant or Patentee: John G. Spakousky  
Serial or Patent No.: N/A  
Filed or Issued: N/A  
For: Composite Building Block With Connective Structure

**STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled "Composite Building Block With Connective Structure" described in

- ☒ the specification filed herewith
- ☐ application serial no. \_\_\_\_\_, filed
- ☐ patent no. \_\_\_\_\_, issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern or organization
- ☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

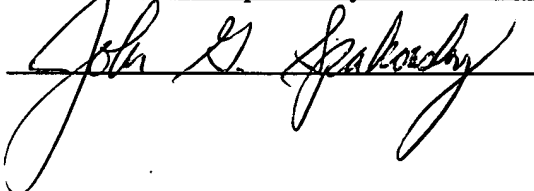
NAME: PentaWall Corporation  
ADDRESS: 17336 90<sup>th</sup> Avenue North  
Maple Grove, MN 55311

☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Inventor Name: John G. Spakousky

Signature:  Date: Sept. 2, 1999

Applicant(s) or Patentee: Penta Wall Corporation  
Serial or Patent No.: N/A  
Filed or Issued: N/A  
For: Composite Building Block With Connective Structure

**STATEMENT (DECLARATION) CLAIMING  
SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27 (c))  
SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: Penta Wall Corporation  
Address of Concern: 17336 90<sup>th</sup> Avenue North  
Maple Grove, MN 55311

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Composite Building Block With Connective Structure by inventor John Spakousky described in

- ☒ the specification filed herewith
- ☐ application Serial No. \_\_\_\_\_, filed \_\_\_\_\_
- ☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate statements are

required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: John G. Spakousky  
ADDRESS: 17336 90<sup>th</sup> Avenue North  
Maple Grove, MN 55311

☒ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: John G. Spakousky  
TITLE OF PERSON SIGNING: President  
ADDRESS OF PERSON SIGNING: 17336 90<sup>th</sup> Avenue North  
Maple Grove, MN 55311

SIGNATURE

John G. Spakousky

DATE

Sept. 2, 1999